



Highland Capital Management, L.P. (the “Defendant”), a defendant in the above-referenced matter, pursuant to Fed. R. Civ. P. 7.1 and LR 3.1(c), LR 3.2(e), LR 7.4, LR 81.1(a)(4)(D), and LR 81.2 of the Local Civil Rules for the Northern District of Texas, hereby submits the following *Certificate of Interested Persons* (the “CIP”):

**For a nongovernmental corporate party, list the name(s) of its parent corporation and any publicly held corporation that owns 10% or more of its stock:**

None/Not Applicable

**Provide a complete list of all persons, associations of persons, firms, partnerships, corporations, guarantors, insurers, affiliates, parent or subsidiary corporations, or other legal entities that are financially interested in the outcome of the case:**

1. Charitable DAF Fund, L.P., a Plaintiff in the above-referenced matter.
2. CLO Holdco Ltd., a Plaintiff in the above-referenced matter.
3. Highland Capital Management, L.P., a Defendant in the above-referenced matter.
4. Highland HCF Advisor, Ltd., a Defendant in the above-referenced matter.
5. Highland CLO Funding, Ltd., a Defendant in the above-referenced matter.

Defendant reserves the right to amend or supplement this CIP as and if necessary or appropriate.

Dated: July 23, 2021.

**PACHULSKI STANG ZIEHL & JONES LLP**

Jeffrey N. Pomerantz (CA Bar No. 143717)  
Robert J. Feinstein (NY Bar No. 1767805)  
John A. Morris (NY Bar No. 266326)  
Gregory V. Demo (NY Bar No. 5371992)  
Hayley R. Winograd (NY Bar No. 5612569)  
10100 Santa Monica Blvd., 13th Floor  
Los Angeles, CA 90067  
Telephone: (310) 277-6910  
Facsimile: (310) 201-0760  
Email: jpomerantz@pszjlaw.com  
rfeinstein@pszjlaw.com  
jmorris@pszjlaw.com  
gdemo@pszjlaw.com  
hwinograd@pszjlaw.com

-and-

**HAYWARD PLLC**

/s/ Zachery Z. Annable

---

Melissa S. Hayward  
Texas Bar No. 24044908  
MHayward@HaywardFirm.com  
Zachery Z. Annable  
Texas Bar No. 24053075  
ZAnnable@HaywardFirm.com  
10501 N. Central Expy, Ste. 106  
Dallas, Texas 75231  
Tel: (972) 755-7100  
Fax: (972) 755-7110

*Counsel for Highland Capital Management, L.P.*

**CERTIFICATE OF SERVICE**

I hereby certify that, on July 23, 2021, a true and correct copy of the foregoing CIP was served electronically via the Court's CM/ECF system upon the parties registered to receive electronic notice in this proceeding.

/s/ Zachery Z. Annable  
Zachery Z. Annable